

Shareholding Pattern for the Quarter Ended on September 30, 2016

General Information about the Company

Scrip code	539268
Name of the company	Syngene International Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	-
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any Warrants?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of share holders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting (XIV) Rights							Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: Y	Total										
(A)	Promoter & Promoter Group	7	149042005			149042005	74.52	149042005					74.52	40000000	26.84			149042005		
(B)	Public	45363	45222697			45222697	22.61	45222697					22.61		0			45222587		
(C)	Non Promoter- Non Public	1	5735298			5735298	2.87	5735298					2.87	0	0	0	0	5735298		
(C1)	Shares underlying DRs	0	0			0	0	0					0		0			0		
(C2)	Shares held by Employee Trusts	1	5735298			5735298	2.87	5735298					2.87		0			5735298		
	TOTAL	45371	200000000			200000000	100	200000000					100	40000000	20			199999890		

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid up equity shares held (V)	No. of shares underlying DR (VI)	Total Nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR 1957) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities including Warrants (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)= (VII)+(X)	Number of Locked in shares (XII)		Number of Shares pledged or encumbered (XIII)		Number of equity shares held in Demat Form (XIV)	
								No. of Voting (XIV) Rights					Total % (A+B+C)	No. (a)	As a % of Total Shares held (b)	No. (a)		As a % of Total Shares held (b)
								Class X	Class Y	Total								
A	TABLE II – STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP																	
(1)	INDIAN																	
(a)	Individual/HUF	4	16198	0	0	16198	0.01	16198	0	16198	0.01	0	0.01	7638	57.87	0	0	16198
	Kiran Mazumdar Shaw		7638	0	0	7638	0.00	7638	0	7638	0.00	0	0.00	7638	100	0	0	7638
	Yamini R Mazumdar		4000	0	0	4000	0.00	4000	0	4000	0.00	0	0.00	0	0	0	0	4000
	Ravi R Mazumdar		1060	0	0	1060	0.00	1060	0	1060	0.00	0	0.00	0	0	0	0	1060
	Dev Mazumdar		3500	0	0	3500	0.00	3500	0	3500	0.00	0	0.00	0	0	0	0	3500
(d)	Any Other (Specify)	3	149025807	0	0	149025807	74.51	149025807	0	149025807	74.51	0	74.51	40000000	26.84	0	0	149025807
	Biocon Limited		145217843	0	0	145217843	72.61	145217843	0	145217843	72.61	0	72.61	40000000	27.54	0	0	145217843
	Biocon Research Limited		1866673	0	0	1866673	0.93	1866673	0	1866673	0.93	0	0.93	0	0	0	0	1866673
	Murali Krishna Karaikal Narayanaswamy (Trustee-Biocon Employee Welfare Trust)		1941291	0	0	1941291	0.97	1941291	0	1941291	0.97	0	0.97	0	0	0	0	1941291
	Sub-Total (A)(1)	7	149042005	0	0	149042005	74.52	149042005	0	149042005	74.52	0	74.52	40000000	26.84	0	0	149042005
(2)	FOREIGN	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding(A)= (A)(1)+(A)(2)	7	149042005	0	0	149042005	74.52	149042005	0	149042005	74.52	0	74.52	40000000	26.84	0	0	149042005

Details of Shares which remain unclaimed for Promoter & Promoter Group																
B	TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER															
(1)	Institutions															
(a)	Mutual Funds	11	1940178		1940178	0.97	1940178		1940178	0.97			0.97	0	0.00	1940178
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investors															
(e)	Foreign Portfolio Investors	59	12030544		12030544	6.02	12030544		12030544	6.02			6.02	0	0.00	12030544
(f)	Financial Institutions/ Banks	4	30035		30035	0.02	30035		30035	0.02			0.02	0	0.00	30035
(g)	Insurance Companies															
(h)	Provident Funds/ Pension Funds															
(i)	Any Other (specify)	1	19267777		19267777	9.63	19267777		19267777	9.63			9.63	0	0.00	19267777
Sub-Total (B)(1)		75	33268534		33268534	16.64	33268534		33268534	16.64			16.64	0	0.00	33268534
(2)	Central Government/ State Government(s)/ President of India															
Sub-Total (B)(2)																
(3)	Non-institutions															
(a(i))	Individuals - i. individual shareholders holding nominal share capital up to Rs. 2 lakhs.	43725	6027036		6027036	3.01	6027036		6027036	3.01			3.01	0	0.00	6026926
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	29	1548786		1548786	0.77	1548786		1548786	0.77			0.77	0	0.00	1548786
(b)	NBFCs registered with RBI	1	200		200	0	200		200	0			0	0	0.00	200
(c)	Employee Trusts													0	0.00	
(d)	Overseas Depositories (holding DRs) (balancing figure)													0	0.00	
(e)	Any Other (specify)	1533	4378141		4378141	2.19	4378141		4378141	2.19			2.19	0	0.00	4378141
Sub-Total (B)(3)		45288	11954163		11954163	5.98	11954163		11954163	5.98			5.98	0	0.00	11954053

Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		45363	45222697		45222697	22.6	45222697		45222697	22.61		22.6	0	0.00	45222587	
Details of the shareholders acting as persons in Concert for Public																
Details of Shares which remain unclaimed for Public																
C	TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON PROMOTER- NON PUBLIC SHAREHOLDER															
(1)	Custodian/DR Holder - Name of DR Holders (If Available)															
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) Syngene Employee Welfare Trust Trustee : MR. MURALI KRISHNAN KN & MS. DOLA MUKHERJEE	1	5735298		5735298	2.87	5735298		5735298	2.87			2.87	0	0.00	5735298
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	5735298		5735298		5735298		5735298	2.87				0	0	5735298
Total (A+B+C2)		45371	200000000		200000000	100.00	200000000		200000000	100.00			100.00	40000000	20.00	199999890
Total (A+B+C)		45371	200000000		200000000	100.00	200000000		200000000	100.00			97.00	40000000	20.00	199999890
Disclosure of notes on shareholding pattern																